

**BEFORE THE IOWA  
ETHICS AND CAMPAIGN DISCLOSURE BOARD  
Pursuant to Chapter 17A and Chapter 68B**

<b>IN THE MATTER OF:</b>	)	
	)	<b>Case No. <u>2008 IECDB 04</u></b>
<b>PATRICK HENDRICKS,</b>	)	
<b>In His Capacity as the Iowa Resident Agent</b>	)	<b>STATEMENT of CHARGES and</b>
<b>for the United Transportation Union</b>	)	<b>NOTICE of HEARING to APPEAL</b>
<b>Political Action Committee,</b>	)	<b>CIVIL PENALTY WAIVER DENIAL</b>
<b>RESPONDENT.</b>	)	

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HEREIN, pursuant to Iowa Code section 68B.32C a contested case hearing will be held before Presiding Officer Gerald Sullivan. The hearing is scheduled for 10:00 a.m. on April 4, 2008, and shall be held telephonically. By March 31, 2008, the Respondent shall provide the Board's Legal Counsel with a telephone number where he will be present for the hearing.

**ALLEGATION**

Pursuant to Iowa Code section 68A.201(5), the United Transportation Union Political Action Committee, as an out-of-state political committee, was required to file a Verified Statement of Registration (VSR) within fifteen days of making a contribution to an Iowa committee. The committee made two contributions to Iowa committees and the VSRs were filed after the statutory deadline.

Civil penalties were assessed in the total amount of \$50 and the Respondent filed a waiver. The waiver was denied and the Respondent seeks this hearing to appeal the assessments.

**DESCRIPTION OF PROCEDURE**

Both parties may present evidence, call witnesses, and enter motions. The Board's Legal Counsel is responsible for proving the underlying violation.

The Board's jurisdiction and authority are provided in Iowa Code chapter 68B. The hearing will be conducted in accordance with the procedural rules in 351—Chapter 11. Pursuant

to 351—11.8(3), within 10 days of service of this notice either party may request that the presiding officer be an administrative law judge assigned by the Department of Inspections and Appeals. The procedure for settlement of this matter is governed by rule 351—9.5.

Copies of all filings should be addressed to the Board's Legal Counsel:

W. Charles Smithson  
Iowa Ethics & Campaign Disclosure Board  
510 E. 12<sup>th</sup> Street, Suite 1A  
Des Moines, Iowa 50319  
(515) 281-3489  
(515) 281-3701 (fax)  
[charlie.smithson@iowa.gov](mailto:charlie.smithson@iowa.gov) (email)

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that this Statement of Charges and Notice of Hearing was sent by first class mail, address service requested, on February 19, 2008, to:

Patrick Hendricks  
United Transportation Union  
4214 Fleur Drive, Suite 9  
Des Moines, Iowa 50321-2300

W. Charles Smithson  
W. Charles Smithson, IECDDB Legal Counsel